



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT

JUL 23 2004

TECH CENTER 2800

Application No. : 09/788,105  
Applicant: : Uglow et al.  
Filed: : February 16, 2001  
Title: : DUAL-DAMASCENE DIELECTRIC  
STRUCTURES

TC/A.U. : 2813  
Examiner : Phan, Thanhha

Atty. Docket No. : LAM1P106D  
Date : July 16, 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 16, 2004.

Signed: 

Neely Jo Entwistle

**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF A FINAL ACTION**  
**(37 CFR 1.97(b)(4))**

Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, but it is believed, before the mailing date of a final action under §1.113. Accordingly, accompanying this Information Disclosure Statement is:

- ☐ a certification as specified in 37 CFR 1.97(e).  
☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

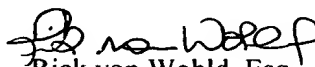
If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. LAM1P106D).

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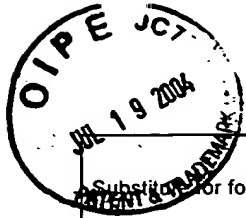
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Respectfully submitted,  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/788,105		
		Filing Date	February 16, 2001		
		First Named Inventor	Jay Uglow		
		Art Unit	2813		
		Examiner Name	Phan, Thanhha		
Sheet	1	of	1	Attorney Docket Number	LAM1P106D

## U.S. Patent Documents

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	5,989,623	11/23/1999	Chen et al.	
	B	6,043,167	03/28/2000	Lee et al.	
	C	6,197,696-B1	03/06/2001	Aoi	
	D				
	E				
	F				
	G				
	H				
	I				
	J				
	K				
	L				

## Foreign Patent Documents

Examiner Initial	Cite No. <sup>1</sup>	Foreign Patent Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number- <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	M					<input type="checkbox"/>
	N					<input type="checkbox"/>
	O					<input type="checkbox"/>
	P					<input type="checkbox"/>
	Q					<input type="checkbox"/>

## Non Patent Literature Documents

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	R	WOLF, Silicon Processing for the VLSI Era, Vol. 2-Processi Integration, 1990, pp. 194, Lattice Press: Sunset Beach, CA
	S	
	T	
	U	

Examiner Signature		Date Considered	
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Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.